

Saskatchewan Commercial Innovation Incentive (SCII) Application Form

Before completing and submitting this application form, please thoroughly review the document [SCII - Program Overview and Application Instructions](#), which is available on the program’s [webpage](#).

SECTION 1: CONTACT PERSON INFORMATION

Salutation: Mr Mrs Ms Dr Prof

First Name: _____ Surname: _____

Title: _____

Email Address: _____

Telephone Number: _____

SECTION 2: ORGANIZATION INFORMATION

Full legal name of your organization: *(The legal name as shown on the certificate of incorporation/registration).*

If operating under a different corporate name than listed above, identify the name:

Mailing address (including suite, unit, apt #):

City: _____ Province / State: _____

Country: _____ Postal / ZIP Code: _____

Company Website Address: _____

If applicable, the Canada Revenue Agency business registration number ‘Business Number’: _____

The unique Business Number assigned to your company by the Canada Revenue Agency

If applicable, provide the date your organization was founded: _____

Provide the date you started business operations, whether in Canada or another country

Number of employees working for your organization: _____

Indicate the number of Full-time Equivalent employees [FTEs] working at the applicant firm.

Operating commercial entities in Canada:

List all of the provinces where your firm has an operating commercial entity and the nature of their activities

(Maximum 1,000 characters including spaces)

Indicate all that apply: (If applicable, indicate whether your company is one of the following)

Incorporated federally Incorporated provincially

If incorporated provincially, indicate the province or territory: _____

Date of incorporation: _____

A copy of the firm's incorporation documents are attached: Yes No

Your organization is headquartered in: _____

Is your organization a subsidiary of another company? Yes No

If yes, provide the full name of the parent company name and the corresponding location of the headquarters

– if no, please indicate N/A

Name of parent company: _____

Location of the parent company headquarters (including suite, unit, apt #):

Address: _____

City: _____ Province / State: _____

Country: _____ Postal / ZIP Code: _____

In what sector(s) does your company currently have operations? _____

Identify the sector in which your company operates

(Maximum 1,000 characters including spaces)

In what sector(s) does your company currently have sales in? (Identify the sector in which your company has sales).

SECTION 3: NEW ECONOMIC BENEFIT BENCHMARK PROPOSED BY THE APPLICANT

Does the applicant intend to make a submission to the Minister of Trade and Export Development (TED) to approve a new economic benefit to Saskatchewan benchmark(s)?

Yes

No

Should an applicant wish to petition TED to qualify a new economic benefit to Saskatchewan benchmark(s) that is not captured in economic benefits benchmarks 1 - 4 (these benchmarks are listed in the *Eligibility Criteria* section of the [SCII - Program Overview and Application Instructions](#) document), they must do so at the same time that they submit their *SCII - Application Form*.

A new economic benefit to Saskatchewan benchmark(s) proposal can be made directly to the Ministry using the [SCII - Proposed New Economic Benefit Benchmark Form](#) available on the program webpage. The form requires that the applicant provides a detailed explanation of the proposed benchmark(s), a rationale explaining the reason(s) that this benchmark(s) will provide demonstrable new economic benefits to Saskatchewan, and, where appropriate, a detailed explanation concerning how the proposed benchmark(s) will be measured and verified by the Ministry. For further information and instructions, the Ministry can be contacted at: scii@gov.sk.ca

SECTION 4: SCII 10 YEAR STREAM OR 15 YEAR STREAM INFORMATION

Does the applicant plan on submitting an application to join the SCII program's 15 year tax rebate stream?

Yes

This stream is available only for applicants that have conducted 50 per cent or greater of the related research and development (R&D) of the proposed innovation in Saskatchewan. The *Definitions* and *Qualifying Process Overview* sections of the [SCII - Program Overview and Application Instructions](#) document contains more information on the criteria and qualifying process for the SCII 15 year tax rebate stream.

No

SECTION 5: SCIENTIFIC ELIGIBILITY INFORMATION

1. Application title: Provide a title that accurately describes the proposed innovation.

(Maximum 250 characters including spaces)

2. Provide a general overview description of the proposed innovation. This should be a non-technical overview that clearly identifies the proposed innovation's unique value proposition in the Canadian marketplace.

(Maximum 2,500 characters including spaces)

3. Describe why the proposed innovation advances the current state of the art in Canada in any relevant sector. Highlight unique advantages including, where appropriate, references to advantages such as total cost, speed to implement, ease of use and adoption, maintenance and support, and stability of the technology, etc.

(Maximum 2,500 characters including spaces)

4. From the lists provided below, please select all boxes that apply to the intellectual property (IP) rights and ownership status relating to the proposed innovation.

Patent Status in Canada	Patent Status International	IP Licenses	Other IP Protection
<input type="checkbox"/> None filed	<input type="checkbox"/> None filed	<input type="checkbox"/> No patent/copyrights	<input type="checkbox"/> None/Not Applicable
<input type="checkbox"/> Filed pending approval	<input type="checkbox"/> Patent Cooperation Treaty filed	<input type="checkbox"/> Owned by the applicant company	<input type="checkbox"/> Trade secrets
<input type="checkbox"/> 1-3 granted	<input type="checkbox"/> Filed pending approval	<input type="checkbox"/> Written licence from Canadian 3 rd party	<input type="checkbox"/> Trademarks
<input type="checkbox"/> More than 3 granted	<input type="checkbox"/> 1-3 granted	<input type="checkbox"/> Written licence from non-Canadian 3 rd party	<input type="checkbox"/> Copyright
	<input type="checkbox"/> More than 3 granted		<input type="checkbox"/> Plant Breeders' Rights
			<input type="checkbox"/> Industrial Design Right
			<input type="checkbox"/> Other

Mandatory: A copy of all relevant patents, IP licences, or proof of plant breeders' rights approval is attached: Yes

Note: In the case where one company is the registered owner of the IP and another company is the applicant (commercializing the IP), there needs to be an IP Agreement between the two companies. This agreement must be attached to this application and the box under the heading "IP Licenses" (above) must have "Written licence from Canadian 3rd Party" checked off.

5. Briefly describe your IP – whether owned or licensed – which you believe is eligible for the SCII. Clearly indicate the dates that patents or licences will expire. For IP licences, clearly indicate if the relationship is exclusive or non-exclusive and provide associated details.

(Maximum 4,000 characters including spaces)

6. For IP that involves trade secrets, patents-pending, or copyright describe the data that you have to support your IP position.
(Maximum 4,500 characters including spaces)

7. Provide a brief description of your organization's IP strategy indicating why this strategy is appropriate for your proposed innovation. Include descriptions of internal policies or employment contracts which support your strategy.

(Maximum 4,500 characters including spaces)

8. Not including revenues from licensing fees, has the applicant's proposed innovation ever been the source of revenues in Canada? If this revenue source was part of a beta test/market validation scenario related to further R&D progress, explain the context and how the proposed innovation has been improved since that time.

(Maximum 4,500 characters including spaces)

9. Do you anticipate using your IP for more than one good, service, or process? i.e., a “cascading” or “forking” good, service, or process as defined in the Definitions section of the [SCII - Program Overview and Application Instructions](#) document.

Yes No - If yes, describe.

(Maximum 2,500 characters including spaces)

10. Does your company have ownership, or recognized licence, of any additional IP that you feel strengthens this application?

Yes No - If yes, describe.

(Maximum 2,500 characters including spaces)

11. Is there any impediment or barrier associated with this IP, legal or otherwise, that would hinder the ability of your company to fully capitalize on the proposed innovation in the Canadian marketplace?

Yes No - If yes, describe.

(Maximum 2,500 characters including spaces)

12. Provide a technical description of your proposed innovation. This description should clearly describe the functions, key specifications, and outputs that best represent your technology. Provide a higher level of technical detail compared to your answer in Section 5, Question 2. Responses should address the technical specifications of your proposed innovation, highlighting, if appropriate, key specifications that distinguish your proposed innovation from existing ones in the Canadian market. You may attach a one page document to assist in this description. i.e., schematic, process diagram, design document, etc.

One page document to assist in this description is attached: **Yes** **No**
(Maximum 4,500 characters including spaces)

13. Describe the technical expertise on your project team, including education, qualifications and the experience of your technical staff and the organizational structure of the team. Include the track record of key team members in similar projects. Provide an overview of relevant information on the key members of your project team. Responses should include a description of the key member(s) role in the firm and area of expertise, their education/experience related to proposed project, and past accomplishments (track record on similar projects, awards, publications, positions held in other organizations).

All relevant CVs/resumes of the individuals listed in this section are provided: Yes No
(Maximum 4,500 characters including spaces)

14. Using the Technological Readiness Level (TRL) chart below as a guide, select the response that best represents the technology development stage of your proposed innovation. (TRL is a measure used to assess the maturity of technologies.)

Level of Readiness		Description of Level of Readiness
<input type="checkbox"/>	Level 1: Basic principles of concept observed and reported	Scientific research begins to be translated into applied research and development. Activities might include paper studies of a technology's basic properties.
<input type="checkbox"/>	Level 2: Technology concept and/or application formulated	Invention begins. Once basic principles are observed, practical applications can be invented. Activities are limited to analytic studies.
<input type="checkbox"/>	Level 3: Analytical and experimental critical function and/or proof of concept	Active research and development is initiated. This includes analytical studies and/or laboratory studies. Activities might include components that are not yet integrated or representative.
<input type="checkbox"/>	Level 4: Component and/or validation in a laboratory environment	Basic technological components are integrated to establish that they will work together. Activities include integration of "ad hoc" hardware in the laboratory.
<input type="checkbox"/>	Level 5: Component and/or validation in a simulated environment	The basic technological components are integrated for testing in a simulated environment. Activities include laboratory integration of components.
<input type="checkbox"/>	Level 6: System/subsystem model or prototype demonstration in a simulated environment	A model or prototype that represents a near desired configuration. Activities include testing in a simulated operational environment or laboratory.
<input type="checkbox"/>	Level 7: Prototype ready for demonstration in an appropriate operational environment	A prototype at the planned operational level that is ready for demonstration in an operational environment. Activities include prototype field testing.
<input type="checkbox"/>	Level 8: Actual technology completed and qualified through tests and demonstrations	Technology has been proven to work in its final form and under expected conditions. Activities include developmental testing and evaluation of whether it will meet operational requirements.

15. Per the response given in Question 14, describe why you believe the proposed innovation is at the TRL selected. In order to assess the viability of your technology, it is important that we understand the TRL of the proposed innovation. Describe, in evidence-based terms, why the proposed innovation is at the stated TRL.

(Maximum 4,500 characters including spaces)

16. What further development or technical activities are required to bring the proposed innovation to market in Canada? From a technical perspective, describe the remaining challenges and/or R&D activities to be completed prior to being market ready in Canada; describe your plan for addressing these outstanding issues.

(Maximum 2,500 characters including spaces)

17. Provide a description of your primary competitor(s) in the Canadian marketplace. Include the geographical location of your competitor(s) in Canada, or otherwise, length of time operating, and the primary competitor(s) estimated market share.

(Maximum 4,500 characters including spaces)

18. Describe the features and benefits of the proposed innovation and how those features and benefits compare directly, or indirectly, with the current competitive offerings in the Canadian marketplace. Responses should include any relevant qualitative or quantitative data or measurable benefits – a fulsome response is critical if your IP and your IP strategy only include trade secrets.

(Maximum 4,500 characters including spaces)

19. At this time, do you have a business strategy regarding the simultaneous development of multiple goods, services, or processes?

Yes No - If yes, describe.

(Maximum 4,500 characters including spaces)

20. Are there any requirements (time, resources, technical skills, etc.) for a customer to install or adopt your proposed innovation?
 Yes No - If yes, describe what is necessary for successful adoption by a customer.
(Maximum 2,500 characters including spaces)

21. Describe (as appropriate) the certifications, licences, and approvals obtained to date for the proposed innovation, and provide details on those left to obtain during commercialization. Be specific with respect to named regulatory bodies and requirements of the referenced certification(s) as they apply to the usability of the proposed innovation for testing.
(Maximum 2,500 characters including spaces)

22. Does your organization have a written endorsement(s) and/or review(s) of the proposed innovation?
 Yes No - If yes, attach to your application
A copy of written endorsement(s) and/or review(s) are attached: Yes No

Declaration

AUTHORIZED OFFICIAL OF THE APPLICANT ORGANIZATION ACKNOWLEDGEMENTS

Please check-off each box below before signing; failing to do so will render the application invalid.

<input type="checkbox"/>	I have read and fully understand the contents of the Saskatchewan Commercial Innovation Incentive: Program Overview and Application Instructions document.
<input type="checkbox"/>	I have read and agree with the above acknowledgements and certify that all statements and information furnished in this application are true, complete, and correct to the best of my knowledge.
<input type="checkbox"/>	I confirm that the individual authorized to sign the application has reviewed the application and agrees that the information provided is accurate and as complete as possible.
<input type="checkbox"/>	I confirm that the individual authorized to sign the application understands all the SCII program requirements and obligations as defined in: <ol style="list-style-type: none"> 1. The Saskatchewan Commercial Innovation Incentive (Patent Box) Act and accompanying regulations; 2. The Income Tax Act, 2000 Section (64.6)
<input type="checkbox"/>	I confirm that the individual authorized to sign the application understands the legal requirements pertaining to identifying/establishing an SCII eligible corporation before qualifying commercialization activities in Saskatchewan occur.
<input type="checkbox"/>	I confirm that the individual authorized to sign the application understands the program requirements pertaining to sharing any reasonably requested corporate information and documentation as may be required by the Government of Saskatchewan to determine program eligibility and/or qualifying tax rebate payments.

Name: _____

*Fill in the name of the individual with signing power/the authority to enter into an agreement. **Note:** This person may be different from the contact person listed in the application form.*

Title: _____

Fill in the title of the individual with signing power/the authority to enter into an agreement.

Signature

The signature of the individual with signing power/the authority to enter into an agreement.

Date